



PRE-QUALIFICATION QUESTIONNAIRE

TENDER REFERENCE: 9649

DART EMU Pantograph MU20A Overhaul

**CLOSING DATE FOR RETURN OF COMPLETED QUESTIONNAIRE:
12:00pm on Friday 21st August 2026**

Issue Date of PQQ: 21st July 2026

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IMPORTANT NOTICE/INTRODUCTION

1. Iarnród Éireann-Irish Rail (“**IE**”), is the Contracting Authority in respect of the competition the subject of this procurement process and this Pre-Qualification Questionnaire. IE is a designated activity company, limited by shares, registered in Ireland under the Companies Act 2014 with registration number 119571 and having its registered office at Connolly Station, Amiens Street, Dublin 1, Ireland.
2. IE operates in the transport sector and is a utility and its procurement procedures are governed by the Utilities Directive (2014/25/EU) of the European Parliament and Council dated 26 February 2014 (the “**Utilities Directive**”), and the implementing Irish national legislation and the European Union (Award of Contracts by Utility Undertakings) Regulations 2016 (S.I. No 286 of 2016, as amended)(the “**Utilities Regulations**”).
3. The entire of this document, including all of this Sections, clauses, and paragraphs and the Questionnaire set out herein and any attachments or exhibits attached hereto (whether in electronic form or otherwise) is referred to as the “**Pre-Qualification Questionnaire**” or “**PQQ**”. The Questionnaire to be completed by Applicants in Appendix 1 is referred to as the “**Questionnaire**”.
4. The “**Applicant**” is a person/group of persons/economic operator(s) who have expressed an interest in this competition and who wish to be considered for the contract award and next stage of the process, and who are referred to in section 2 of this PQQ.
5. The Pre-Qualification stage of this procurement process may be cancelled at any time by notice in writing issued by IE to the Applicants without any liability attaching to IE in respect thereof and no compensation or payment of any kind shall be payable to any Applicant or any other person in the event of cancellation or termination of this procurement process. IE is not liable for Applicants’ costs or expenses arising in connection with this pre-qualification stage or any other stage of this procurement process, irrespective of the outcome of this competition, or if the competition is cancelled or postponed.
6. Neither IE nor any of its directors, officers, managers, employees, advisers, servants or agents, warrant or represent that this document, or any other information given to Applicants, is accurate or complete, and they do not accept liability for any error, misstatement, or omission (negligent or otherwise) in this document, or in any other information given to Applicants. Neither IE nor any of its directors, managers, officers, employees, advisers, servants or agents owes any duty of care to any recipient of this PQQ either in relation to this PQQ or any other information that an Applicant may receive at any time.

1. DESCRIPTION OF THE CONTRACT BEING PROCURED

This is a call to competition by IÉ for the DART EMU Pantograph MU20A Overhaul (the “Contract”). This call for competition was advertised on the eTenders website <http://www.etenders.gov.ie/> under RFT **8693808 - 9649 – DART EMU Pantograph MU20A Overhaul** - on 21st day of July 2026.

Iarnród Éireann (IÉ) undertake running maintenance on their Electrical Multiple Units (EMU) at our Fairview depot in the Republic of Ireland. As part of these maintenance activities, IÉ have an ongoing requirement for the Supply of Overhauled MU20A Pantographs on the 8500’s, 8510’s and 8520’s.

IÉ estimate that they will require approximately sixty (60) pantographs to be overhauled and returned to our Fairview depot.

Below is an estimated breakdown across the EMU fleet types of what the requirements will be on a yearly basis:

Fleet Type	2027	2028	2029	2030	2031	2032	2033	2034	2035	2036
8100	5	0	0	0	0	0	0	0	0	0
8500	0	1	0	5	0	2	1	0	5	0
8510	0	0	0	6	0	0	0	0	6	0
8520	4	3	0	0	3	14	3	0	0	3
Total	9	4	0	11	3	16	4	0	11	3

Please note that IÉ’s Safety Management System Standard 009 (SMS-009) must be adhered to on award of the contract. This standard relates to Suppliers of Safety Critical components to IÉ.

1.1 DURATION OF CONTRACT AND FORM OF CONTRACT

The subject of this competition is a contract for **5 years with the option for an extended 5 years** at IÉ’s discretion, subject to the terms and conditions of the Contract. The Contract Notice is referred to in Section 3.1 of this PQQ.

The form of Contract will be issued with the Invitation to Tender (ITT) at the ITT stage of this procurement process, to all Applicants who pre-qualify for the ITT stage of the process, under this PQQ, subject to IÉ’s decision (in its sole discretion) to proceed to the ITT stage of the competition.

2. THE APPLICANT

2.1 INDIVIDUAL ENTITIES/GROUPS/CONSORTIUM

A person who has reviewed the Contract Notice published by IÉ for this competition and wishes to be considered for award of this contract, and has submitted a completed Questionnaire and returned all supporting documentation requested. In order to qualify for the next stage of the competition, all applicants must satisfy the “Selection Criteria” set out in section 5 of this PQQ.

A person who submits a completed Questionnaire is referred to as the “**Applicant**”. This may be a natural person or sole trader, or a single corporate entity such as a company, or the person may consist of an applicant who is acting as a “**Prime Contractor**” who is proposing another person or persons as a “**Sub-Contractor**”.

The Applicant may also consist of a consortium or group (referred to here as a “**Consortium**”) specifically coming together as a group (whether incorporated or unincorporated), to tender for this Contract, such as a joint venture or a partnership, and including where a group proposes to contract through an SPV (Special Purpose Vehicle), or where one or more members of a consortium act as the lead member/members and where other members of the group act as sub-contractors, while being members of the consortium.

If an Applicant consists of a Consortium, a single nominated entity who is authorised to represent all members of the Consortium must be identified in Part 1 of Questionnaire.

The Applicant must set out its proposed structure in Part 1 of the Questionnaire (in **Appendix 1**). Where the Applicant consists of a Consortium, refer also to **Appendix 2** (requirements concerning Consortium Groups) and all members of the Consortium must complete a Questionnaire.

Note that a Consortium could also have sub-contractors in its group, who are being specifically designated as members of the Consortium and this needs to be identified by the Applicant where this is the case.

Where an Applicant consists of “Prime Contractor” and a Sub-Contractor, and they are not coming together in a group to form a consortium, this is not deemed to be a “Consortium” for the purposes of this PQQ and the Questionnaire must be completed by the Prime Contractor but note the requirements concerning Declarations (section 2.4 of this PQQ) and Reliance on Resources (section 2.3 of this PQQ).

Reference should be made to **Appendix 3** (requirements concerning Sub-Contractors), and note that the information concerning Sub-Contractors required by Appendix 3 will be required where Sub-Contractors form part of a Consortium and ALSO where Sub-Contractors do not form part of a Consortium.

In the case of any changes to any Consortium, please refer to section 2.5 of this PQQ.

2.2 SUB-CONTRACTORS

Firstly, Applicants must clearly identify in Part 1 of the Questionnaire, if they are proposing any persons/entities to act as a Sub-Contractor(s).

Secondly, Applicants must also identify in Part 1 of the Questionnaire, if the proposed Sub-Contractor is also to be part of a Consortium or not. If a Sub-Contractor is also a member of a Consortium, it must complete a Questionnaire like all other members of the Consortium. If a proposed Sub-Contractor is not part of a Consortium but proposed for sub-contracting purposes only, they need not complete a Questionnaire but the Applicant must do so giving all information requested (however see requirements concerning Declarations (section 2.4) and Reliance on Resources (section 2.3)).

However in all cases, whether a Sub-Contractor is part of a Consortium or not, full information concerning proposed Sub-Contractors must be provided by all Applicants in Part 1 of the Questionnaire. IE is entitled to seek further information about the Applicant or any Sub-Contractors at any stage of the competition.

Thirdly, please note in addition, all Sub-Contractors will be required to complete and return a Declaration of Eligibility relating to the exclusion grounds under procurement law, referred to in Part 9 of the Questionnaire, whether they are part of a Consortium or not (refer to section 2.4 of this PQQ).

Fourthly, Applicants must clearly identify in Part 1 of the Questionnaire, whether they proposed to rely on a Sub-Contractor's resources for the purposes of fulfilling any of the Selection Criteria (refer to section 2.3 below on reliance on resources). If an Applicant is not relying on a Sub-Contractor for the purposes of fulfilling any of the Selection Criteria, but is still putting forward this person/entity as a Sub-Contractor for sub-contracting purposes only, this needs to be confirmed in Part 1 of the Questionnaire.

Fifthly, all Sub-Contractors must complete a Declaration of Eligibility in the form set out in Part 9 of the Questionnaire, regardless of whether they are part of a Consortium or not.

If there are grounds for mandatory exclusion of any Sub-Contractor, then the Sub-Contractor must be replaced. If the grounds for exclusion fall into the discretionary category, IÉ may require the Applicant at its discretion, to replace the Sub-Contractor.

Applicants should note that the Contract does not allow for the entire obligations of the contractor to be sub-contracted but part only, and also note that any sub-contracting under the Contract is at the sole discretion of IÉ who may withhold its consent.

In the case of any changes to Sub-Contractors, please refer to section 2.5 of this PQQ.

2.3 RELIANCE ON RESOURCES

If any Applicant wishes to rely on the resources of any person or other entity or entities (including for example, any Sub-Contractors), in order to fulfil any of the meet Selection Criteria set out in section 5 of this PQQ, including any minimum qualification criteria, it may do so whatever the nature of the legal link between itself the those persons/entities PROVIDED the Applicant can prove to the satisfaction of IÉ (in its absolute discretion) that it will have these necessary resources at its disposal when required, in accordance with Article 79 of the Utilities Directive and Regulations 86, 87, 88 and 89 of the Utilities Regulations.

If an Applicant seeks to rely on the resource of any other person or entity to fulfil any of the Selection Criteria:

- (a) the Applicant must set out in Parts 1, 2, 3, 4, 5, 6 and 7 of the Questionnaire its detailed responses as to what are the precise arrangements by which it proposes to rely on the capacity and resources of other persons or entities, if this arises, and this must be completed in the case of each of the Selection Criteria including Minimum qualification criteria referred to in section 5 of this PQQ, where it proposes to do so, and the relevant Parts of the Questionnaire must be completed in detail in relation to reliance on resources where this is relied upon by the Applicant;
- (b) a Declaration of Eligibility relating to the exclusionary grounds under procurement law, in the form set out in Part 9 of the Questionnaire, must be completed by all third parties (including any Sub-Contractors) whom the Applicant intends to rely on for the purposes of fulfilling any of the Selection Criteria (including the minimum qualification criteria);
- (c) the Applicant must prove to the satisfaction of IÉ that the necessary resources will be made available to it, for the purposes of fulfilling the Contract if it is awarded (as required by Article 79 of the Utilities Directive and Regulations 86(3) and 87(3) of the Utilities Regulations), and in this regard IÉ may require, in this regard, a legally binding Letter of Undertaking/Letter of Support from such other third party confirming that it will provide the necessary support to the Applicant for the purposes required by the Applicant. This Letter of Undertaking /Letter of Support must be executed as a deed or otherwise by a duly authorised person who is duly authorised to bind the third party and must specifically refer to this competition and the name of the Contract, name of Applicant, and nature of resources being provided by the third party that the Applicant proposes to rely on;
- (d) the Applicant must provide such other evidence and supporting documentation on request from IÉ, that is sufficient to demonstrate that it can satisfy the Selection Criteria or any one of them, and in relation to any reliance on resources of any third party.

If the above conditions are not met, the Applicant shall be excluded from further participation in the competition.

Please also see item (8) of section 4.1 of this PQQ.

2.4 DECLARATIONS

The Applicant must complete a Declaration of Eligibility (Part 9 of the Questionnaire).

All members of a Consortium must complete a Declaration of Eligibility (as well as completing the Questionnaire) and they must be returned by the Applicant.

All Sub-Contractors being proposed (whether part of a Consortium or not) must complete a Declaration of Eligibility and the Applicant return these.

All persons whom an Applicant relies on for resources, in order to fulfil any of the Selection Criteria (including any minimum qualification criteria), a Declaration of Eligibility must be completed by that third party (including any Sub-Contractor whose resources are being relied upon).

2.5 CHANGES

IE has the right to verify any information or documentation received at any stage of the competition.

Where there is any change or proposed change in the details concerning Consortium or any Sub-Contractor or Applicant (whether as Prime Contractor or otherwise), all such changes must be notified in writing to IE. IE will assess any proposed change against the applicable "Selection Criteria", to ensure all requirements continue to be met. In any instance, where the proposed change fails to continue to meet all requirements this will result in the rejection of the proposed change.

3. THE PROCUREMENT PROCESS

IE published a call to competition for the DART EMU Pantograph MU20A Overhaul under Reference Number: **RFT 8693808 – 9649 – DART EMU Pantograph MU20A Overhaul** on the Irish E-Tenders website, www.etenders.gov.ie on the 21st July 2026.

3.1 TWO-STAGE PROCESS

The procurement process for the award of this Contract consists of 2 distinct stages: a pre-qualification stage and a tender stage (or award stage). The pre-qualification process, conditions and selection criteria detailed in this document. All Applicants will be treated equally and have an opportunity to pre-qualify.

3.2 SHORTLISTING PROCESS

IE anticipates that it will, following the PQQ stage of this procurement process, have established a shortlist of pre-qualified Applicants.

3.3 TENDER PROCESS

The tender stage, or award stage of this procurement process will commence when IE issues an ITT to each shortlisted Applicant that has been shortlisted under this PQQ and its procedures.

If IE decides (in its absolute discretion) to proceed to tender stage, it will provide each shortlisted Applicant with the following detailed documentation or access to documentation, as part of the documentation making up the ITT that will include the following:

- (a) detailed information regarding the procedures to be followed during the tender stage/award process including the award criteria and methodology;
- (b) a draft of the form of Contract;
- (c) Scope of services/technical specifications for the Contract.

Applicants should note that those who have been shortlisted to proceed to tender stage, will be required to comply with the insurance requirements of the Contract and be required to be in possession of and produce a Tax Clearance Certificate from the Revenue Commissioners of Ireland at time of contract award.

3.4 PROPOSED TIMESCALE AND ANTICIPATED PROGRAMME

IE expects to undertake this procurement process in accordance with the indicative timescale and programme set out below:

ACTIVITY/MILESTONE	ANTICIPATED DATE
RETURN OF COMPLETED PQQS	21 th August 2026
ISSUE OF ITT (INVITATION TO TENDER)	October 2026
RETURN OF TENDERS	November 2026
CONTRACT AWARD/ISSUE OF NOTIFICATIONS TO TENDERERS	February 2027

3.5 REGULATORY FRAMEWORK/UTILITIES/EU THRESHOLDS

IE is a utility providing transport services and is governed by public procurement law applicable to entities operating in the Utilities Sector.

4. PROCEDURAL REQUIREMENTS

The Questionnaire must be completed in the prescribed form. Failure to provide the requested information, omission of supporting documentation and the provision of incomplete or misleading information may result in exclusion from the procurement process. A summary of the procedure for pre-qualification of Applicants under this procurement process is as follows:

- (a) each Questionnaire returned will be checked to ensure that the Applicant has complied with any applicable procedural requirements and that the Questionnaire has been submitted on time within the deadline for submissions set by this PQQ and is complete. Applicants who do not comply may be eliminated at this point;
- (b) each Questionnaire will be checked to see if any of the mandatory or discretionary exclusionary criteria apply to it (refer to the Declaration at Part 9 of Questionnaire);
- (c) each Questionnaire will be checked to ensure that the Applicant complies with the pre-conditions for pre-qualification set out in section 4 of this PQQ. Applicants who do not comply may be eliminated at this point;
- (d) each Questionnaire will be checked to ensure that the Applicant meets the minimum qualification criteria which are set out in section 5.1 of this PQQ. Applicants who do not meet the minimum qualification criteria will be eliminated at this point;

- (e) all Applicants who have passed the previous stages set out above, will then proceed to be evaluated under the further selection criteria set out in this PQQ and a further evaluation or shortlisting exercise will be carried out at this stage;
- (f) IÉ will then inform Applicants in writing of the outcome of the pre-qualification procedures and will inform Applicants whether or not they have been shortlisted; and
- (g) Shortlisted Applicants will be invited to tender and issued with the ITT documentation, if IÉ decides (in its sole discretion) to proceed to the tender stage.

4.1 PRE-CONDITIONS FOR QUALIFICATION AND SHORTLISTING

- (1) Completion of Appendix 1 (Pre-Qualification Questionnaire)
Applicants must complete all Parts of Appendix 1 (the Pre-Qualification Questionnaire). This is without prejudice to the completion of the European Single Procurement Document (the “**ESPD**”) by any Applicant (which ESPD is referred to in Article 59 of Directive 2014/24/EU (the “**Public Sector Directive**”) which is referenced in Article 80(3) of the Utilities Directive and nothing in this PQQ shall prevent an Applicant from submitting an ESPD;
- (2) Declaration in Part 2 of the Questionnaire relating to meeting the minimum qualification criteria, is required to be completed by Applicants and any other entity on whom reliance on resources is sought to be relied upon;
- (3) Declaration in Part 9 of the Questionnaire relating to mandatory exclusionary criteria and discretionary exclusionary criteria, referred to in the Utilities Directive, to be completed by the Applicant and any other entity on whom reliance on resources is sought to be relied upon and by all Sub-Contractors (without prejudice to any declarations made in any ESPD document submitted by an Applicant and any other entity);
- (4) Statement of Confirmation (Appendix 4) to be completed by the Applicant and furnished to IÉ with the completed PQQ, confirming acceptance of terms and conditions of this PQQ and confirming all declarations and information has been submitted;
- (5) Compliance with Appendix 2 (Consortium Submission requirements) to be completed by the Applicant where applicable;
- (6) Compliance with Appendix 3 (Sub-Contractors) to be completed where applicable
- (7) Conflicts of Interest: The Applicant including all its members where it is a grouping or Consortium, must be able to comply with IÉ’s policy on conflicts of interest (as set out in this PQQ), as a pre-condition for qualification;
- (8) Verification: IÉ reserves the right to seek verification from any Applicant, at any stage during the competition, (including the pre-qualification stage, invitation to tender stage and contract award stage) in relation to any item, data or information supplied or in relation to any item referred to in any of the Declarations to be completed and referred to in the Appendices to this PQQ, and to seek verification of evidence of any remedial measures taken by the Applicant to demonstrate its reliability despite the existence of a relevant exclusionary ground, as such reliability measures as are referred to in Article 57(6) of the Public Sector Directive (2014/25/EU) which is referenced in Article 80(1) of the Utilities Directive, and/or to verify its compliance with the selection criteria set out herein and seek supporting documents/references/clarifications.

****NOTE:** If an Applicant, at any stage during the competition, refuses to provide evidence which is considered by IÉ to be sufficient to demonstrate (a) compliance with the Selection Criteria or (b) the Applicant's reliability despite the existence of a relevant exclusionary ground, it shall be excluded from the competition, and this shall include evidence required in relation to any member of a consortium or in relation to any entity on whom the Applicant relies in order to fulfil any of the Selection Criteria.

IÉ reserves the right to require the Applicant to replace any entity who does not fulfil the Selection Criteria, or in respect of which a ground for exclusion exists, and where remedial measures are produced which are not satisfactory in the opinion of IÉ (in its absolute discretion). Where an Applicant is required to replace an entity in respect of which there are grounds for exclusion (on whom it is seeking to rely), and the replacement entity does not fulfil the selection criteria, or is also entity in respect of which there are grounds for exclusion, it may be excluded from the competition.

- (9) National Databases: The provisions of this Section 4.1 are without prejudice to the availability of any supporting documents/certifications etc. as may become available on any national database or online repository of certificates, that is made available by Ireland as a Member State of the EU on its implementation of the Utilities Directive 2014/25/EU.

Shortlisting of Applicants who meet the pre-conditions for qualification and minimum qualification criteria, will be carried out in a non-discriminatory manner and on the basis of equality of treatment of such Applicants, based on the objective rules and criteria set out in this PQQ, and in accordance with all applicable law including the Utilities Directive 2014/25/EU.

4.2 FORMAT OF PQQ SUBMISSIONS AND RETURN OF PQQ

PQQ submissions and the duly completed Questionnaire should be submitted via the e-tenders portal only.

(QUESTIONNAIRE)	SUBJECT MATTER OF PART
Part 1	Applicant Details and General Information
Part 2	Information/Declaration re Minimum Qualification Criteria
Part 3	Not applicable
Part 4	Technical/Professional Ability: Relevant Experience
Part 5	Technical/Professional Ability: Company Resources/Capacity
Part 6	Quality Management Systems
Part 7	Not applicable
Part 8	Organisational Sustainability
Part 9	Declaration of Eligibility
Appendix 2	Consortium Requirements (where applicable)
Appendix 3	Sub-Contractors (where applicable)
Appendix 4	Applicant's Statement of Confirmation enclosing completed PQQ

All electronic copies must be in PDF portable document format. The Questionnaire as submitted, must identify where text should be supported by additional information in the form of, for example, management and contract structure charts. Applicants are required to provide all details which they consider necessary to provide a sufficient response to each Part and Appendix of the PQQ including client contact details where requested.

4.3 DEADLINE FOR PQQ SUBMISSIONS

The fully completed Questionnaire and all submissions relating to it must be submitted via the e-tenders portal only on or before **the date that is the set as the deadline** for PQQ submissions that is stated on the front cover of this PQQ.

5. SELECTION CRITERIA**5.1 MINIMUM QUALIFICATION CRITERIA**

The minimum qualification criteria that each Applicant must satisfy in order to proceed to the next stage of the qualification evaluation are outline in Part 2 of this questionnaire.

Reliance on resources to meet Turnover Requirement: Where the Applicant seeks to rely on the resources of any third party to meet the above stated Minimum financial qualification criteria of Turnover, in addition to the requirements under section 2.3 of this PQQ, and furnish the necessary Declarations of Eligibility, it must provide evidence of the turnover for such other persons/entities for each of the financial years listed above and prove to IÉ that the necessary resources will be available to it when required, whether by way of a signed auditor's statement or similar.

Reliance on a Consortium Member: Where an Applicant who is a consortium, seeks to rely on the resources of its lead consortium member, or other consortium member, or whether a Sub-Contractor who is a member of a Consortium, to satisfy the above stated Minimum qualification financial criteria, this must be stated in the Declaration set out in Part 2 of the Questionnaire and the conditions of section 2.3 (reliance on resources) must be met and the relevant Declarations furnished.

Reliance on a Sub-Contractor: Where an Applicant seeks to rely on the resources of a Sub-Contractor, where it is not part of a Consortium, to satisfy the above stated Minimum qualification financial criteria, this must be stated in the Declaration set out in Part 2 of the Questionnaire and the conditions of section 2.3 (reliance on resources) must be met and the relevant Declarations furnished.

Parent company: Where the Applicant is a subsidiary and relying on its parent company to meet the Minimum turnover requirements, the details required by this section must also be provided by the parent company, and a Declaration of Eligibility from the parent company provided in accordance with section 2.3 of this PQQ.

IÉ reserves the right to conduct any due diligence checks to assess financial capacity to undertake the services.

5.2 TECHNICAL/PROFESSIONAL ABILITY

The following table sets out the further shortlisting criteria in respect of the technical and/or professional ability of Applicants. The scoring methodology allocated to these criteria is set out in section 6 of this PQQ. IÉ reserves the right to seek feedback from the client references provided.

Technical and Professional Criteria	Weighting	Cross reference in Questionnaire	Minimum Rule/Minimum Score
Relevant Experience of Applicant	65% 6,500 points available	Part 4, Appendix 1	Applicant must score a minimum of 40% of the allocated score under this criteria.
Company / Technical Resources	10% 1,000 points available	Part 5, Appendix 1	Applicants must score a minimum of 40% of the allocated score under this criteria.
Quality Management System	5% 500 points available	Part 6, Appendix 1	Applicants must score a minimum of 40% of the allocated score under this criteria.
Production Management System	5% 500 points available	Part 6, Appendix 1	Applicants must score a minimum of 40% of the allocated score under this criteria.
Technical Control System	5% 500 points available	Part 6, Appendix 1	Applicants must score a minimum of 40% of the allocated score under this criteria.
Organisational Sustainability	10% 1,000 points available	Part 8, Appendix 1	Applicants must score a minimum of 40% of the allocated score under this criterion.
TOTAL	100% 10,000 points		

Reliance on resources – If the Applicant is relying on the resource of any third party/Sub-Contractor/Consortium member to fulfil the Technical and Profession Selection Criteria, full details are required as per section 2.3 of the PQQ and Part 4 Questionnaire. In particular, if experience of any Sub-Contractor or third party/Consortium member is being relied upon to fulfil this Selection Criteria, relevant examples of experience of the Sub-Contractor/Consortium member/ third party should be provided in Part 4 and in relation to resources, the details should be provided in Part 5 of the Questionnaire.

6. SCORING METHODOLOGY FOR SELECTION CRITERIA (other than the Minimum Qualification Criteria which is Pass/Fail)

SCORING METHODOLOGY (Part 4-7)		
Score	% of total mark available	Comment
5	100%	Excellent - Overall, the response is excellent and relevant. The response is comprehensive and demonstrates a thorough understanding of the criterion and provides details on how the criterion will be satisfied to a very high standard.
4	80%	Very Good - Overall, the response is very good and relevant. The response demonstrates a very good understanding of the criterion and provides details on how the criterion will be satisfied to a high standard.

3	60%	Good - Overall, the response is good and relevant. The response demonstrates a good understanding of the criterion and provides details on how the criterion will be satisfied to a good standard.
2	40%	Acceptable - Overall, the response is acceptable and relevant. The response demonstrates an acceptable understanding of the criterion and provides details on how the criterion will be satisfied to an adequate standard.
1	20%	Poor - Overall, the response is poor and only partially relevant. The response addresses the criterion in part but contains insufficient /limited detail or explanation to demonstrate how the criterion will be satisfied.
0	0%	Unacceptable - Nil or inadequate response. The response fails to satisfy the criterion in very significant respects.

SCORING METHODOLOGY
(Part 8 Organizational Sustainability)

Score	% of total mark available	Comment
5	100%	An internationally accredited Environmental Management System is provided for the supplier related to the services/materials of the contract. A Sustainability Strategy is provided along with an implementation roadmap which is monitored, measured and published in an Annual Sustainability Report. The applicant has demonstrated that they have an excellent understanding of their environmental and social sustainability obligations and have demonstrated that the implementation of actions are embedded.
4	80%	An internal Environmental Management System is in place to manage the environmental aspects of their activities including, but not limited to operating procedures, and pollution prevention measures/environmental management plans. A Sustainability Strategy is provided. The applicant has demonstrated that they have a very good understanding of their environmental and social sustainability obligations and demonstrate they are working towards implementation in their operations.
3	60%	An Environmental and Social Sustainability Policy is provided. The applicant has demonstrated that they have a good understanding of their environmental and social sustainability obligations.
2	40%	An Environmental Policy is provided and some consideration and commitment on social sustainability is outlined. The applicant has demonstrated that they have an acceptable understanding of their environmental and social sustainability obligations.
1	20%	Sustainability documentation is provided, but it is not company specific. The applicant has not demonstrated that they understand their environmental and social sustainability obligations.
0	0%	Unacceptable - No Submission

Failure to meet the minimum threshold (where applicable) under the qualitative selection criteria shall result in disqualification.

7. GENERAL CONDITIONS**7.1 INTERVIEWS/PRESENTATION MEETINGS**

Applicants may be requested to attend interviews in Dublin, Ireland in order to provide clarifications in relation to any information submitted pursuant to the requirements of this PQQ, at their own cost and IE shall not be liable for the costs or expenses of any Applicant attending in Dublin for such interviews.

7.2 FURTHER INFORMATION/QUERIES AND CLARIFICATIONS

QUERIES: Where the Applicant has any queries or observations regarding the meaning of any requirement or any other aspect of this qualification process, the Applicant should submit such queries via the e-tenders portal within 7 Working Days before the deadline for submission of completed PQQs that is set out in section 4.3 above. IE may, in its sole discretion, reply to queries received after that date.

REQUESTS FOR CLARIFICATION POST DEADLINE: IE may also seek clarifications from Applicants in relation to their PQQ submissions received.

7.3 CONFIDENTIALITY AND CONFIDENTIAL OR POTENTIALLY CONFIDENTIAL QUERIES/ANNOUNCEMENTS

If an Applicant believes a query relates to a confidential or commercially sensitive matter it must clearly state the reason why the Applicant considers its query “confidential” or “commercially sensitive” and must mark its query as “Confidential” and/or “Commercially Sensitive”.

Where IE is satisfied, in its sole discretion, that the query/request and/or its response should properly be regarded as confidential or commercially sensitive, the nature of the query/request and its response shall be kept confidential (subject to the requirements of any law or applicable requirements).

Where IE is of the opinion that it would be inappropriate to answer the query/request on a confidential basis, it will notify the Applicant and require the Applicant to either withdraw the query or to raise any objection within three (3) Working Days of such notification and state grounds for its objection.

Where the Applicant does not withdraw the query/request or raise any objection within the specified period, or IE is of the opinion that, notwithstanding the objection of the Applicant the query/request is not confidential or commercially sensitive, IE may include the query/request and its response to all Applicants in the relevant circular/notice. As noted in Section 7.2, the identity of Applicants raising individual queries will not be disclosed in such circular/notices.

Subject to the above, it is a requirement of IE that all exchanges shall be kept confidential by the Applicants and not disclosed to any person, save as may be required by law. Applicants will also be asked to enter into Confidentiality Undertakings/Agreements should it become appropriate to release confidential information to them. IE requires that all information provided by Applicants or by IE pursuant to this PQQ be treated in strictest confidence by Applicants, and by submitting an application, Applicants shall be deemed to so acknowledge and agree.

IE has the right to disclose to any party information concerning the Contract, the [identity of the Applicants] this procurement process and the qualification and tender documentation at any time that is allowed under applicable law.

7.4 FREEDOM OF INFORMATION

IE is subject to the Freedom of Information Act 2014 (the “FOI Act”) for its activities, other than in relation to its freight business and Rosslare Europort. CIE is an exempt agency under the FOI Act.

Applicants should therefore indicate what parts of their application/submission of completed PQQs are commercially sensitive and what parts they consider should be kept confidential, which is a separate matter from dealing with any freedom of information requests (FOI requests) that IÉ may receive under the FOI Act. IÉ will make reasonable efforts to consult with Applicants in all cases where an FOI request has been received, that may affect information the Applicant(s) consider confidential or commercially sensitive, before making a decision on such FOI requests. IÉ may decide, while taking into account requests of Applicants that specific information should not be disclosed, that such information should not be categorised as confidential or commercially sensitive and in that instance may decide to disclose such information. It is not sufficient or acceptable that an Applicant would include a statement that all of its information is confidential or commercially sensitive. IÉ may disclose all information provided by Applicants to its lawyers, auditors or other professional advisers under appropriate terms of confidentiality as it deems necessary. In addition, to the above, nothing in this PQQ will prevent IÉ from disclosing any information, data or materials that is required to be disclosed under applicable law, including the FOI Act.

7.5 DATA PROTECTION AND GDPR (General Data Protection Regulation)

IÉ, as Contracting Authority for this competition, will be a **“Data Controller”** within the meaning of the **“Data Protection Legislation”** (as defined herein), in respect of any **“Personal Data”** as defined under the Data Protection Legislation, required to be provided by Applicants in the PQQ Questionnaire (and any supporting documentation).

The Applicant, who is itself a **“Data Controller”** in respect of any **“Personal Data”** relating to any person provided by it in its completed Questionnaire (and in any supporting documentation), is required to confirm, in the Applicant’s Statement of Confirmation (Appendix 4 of this PQQ), that all **“Data Subjects”** (as defined in the Data Protection Legislation) whose Personal Data has been provided by the Applicant to IÉ as part of its application at this stage of the competition, have consented to the processing of such Personal Data by the Applicant, by Consortium members where the Applicant is a Consortium, by the Applicant where the Applicant is a Prime Contractor with a Sub-Contractor and not a Consortium, and by IÉ as Contracting Authority and IÉ’s Evaluation Team, for the purposes of participation by the Applicant in this competition OR that the Applicant has otherwise a legal basis (as identified under the Data Protection Legislation) for providing such Personal data to IÉ as Contracting Authority for the purposes of participating in this competition.

“Data Protection Legislation” means all applicable national and EU data protection laws, regulations and guidelines including but not limited to the Data Protection Acts 1988 to 2018 of Ireland, Regulation (EU) 2016/679 (the **“General Data Protection Regulation”** or the **“GDPR”**), and any guidelines and codes of practice issued by the Data Protection Commissioner of Ireland or any other supervisory authority for data protection in Ireland from time to time.

7.6 CONFLICTS OF INTEREST

- (1) It is a pre-condition for each Applicant to pre-qualify that it complies with IÉ’s policy on conflict of interest set out in this PQQ.
- (2) No person may be a member of more than one Applicant other than as a Sub-Contractor or where the entity is the supplier of proprietary goods/services.
- (3) Applicants are expressly and strictly prohibited from discussing any aspect of their Questionnaire or pre-qualification submission with any other Applicant or members of any other Applicant or otherwise exchanging information or colluding in respect of the goods/services required. Any Applicant who fails to comply with this requirement may be disqualified.

- (4) Any conflicts of interest or potential conflicts of interest that an Applicant (or any member of an Applicant) has with IÉ or CIE or any member of the CIE Group of companies, or with any other Applicant must be fully disclosed to IE, as soon as they become apparent.
- (5) No Applicant, nor any member of an Applicant, nor any of an Applicant's advisers, consultants, servants or agents may currently be or have been in the past, an adviser, contractor, consultant, servant or agent to IÉ in relation to the subject of this procurement process where such involvement could or would lead to a conflict of interest or potential conflict of interest. In such circumstances all relevant information must be disclosed to IÉ in advance (on or prior to the deadline for PQQ submissions) and IÉ will advise, in its absolute discretion, on the appropriate course of action.
- (6) In the event of any conflict or potential conflict of interest, IÉ may invite Applicant(s) to propose the means or mechanisms by which the conflict or potential conflict may be resolved or dealt with and clarify any mitigation systems in place to deal with any conflicts of interest or potential conflicts of interest and to provide supporting documentation in relation to such mechanisms or mitigation systems.
- (7) IÉ will, in its absolute discretion, decide on the appropriate course of action which may, in appropriate circumstances, include elimination of an Applicant(s) from this competition and/or terminating any contract entered into with an Applicant or IÉ may permit the situation to continue provided and conditional upon certain safeguards being put in place and being observed.
- (8) Any "registrable interest" as defined in the Ethics in Public Office Acts, involving the Applicant and/or IÉ, CIE or any member of the CIE Group, or any member of the Government or Oireachtas or their relatives, must be fully disclosed when responding to this PQQ, or should be communicated to IÉ immediately where such information becomes known to the Applicant only after submission of a response and duly completed PQQ, and prior to the award of any contract, and IÉ will, in its sole discretion, decide on the appropriate course of action, which may in appropriate circumstances, result in the Applicant being eliminated from this competition or in any contract with the Applicant being terminated. "Registrable interest" and "relative" are as defined in the Ethics in Public Office Acts.

7.7 ANTI-COLLUSION/INDUCEMENTS/INTERFERENCE

Applicants are strictly prohibited from discussing any aspect of their application with any other Applicants or otherwise exchanging information or colluding in respect of any matter relating to this procurement process and this competition. Any Applicant (or any member of any Applicant) who fails to comply with this requirement may be disqualified.

APPENDIX 1 - PRE-QUALIFICATION QUESTIONNAIRE

GUIDANCE NOTE

Appendix 1: The Applicant must complete this QUESTIONNAIRE. This QUESTIONNAIRE is divided into 8 Parts, all of which must be completed unless otherwise stated. This QUESTIONNAIRE is available in soft copy in Word format and Applicants are permitted to add additional sections to tables etc. as required. There are 2 Declarations in Appendix 1 (in Part 2 and Part 9) which must be completed also.

Appendix 2: Where the Applicant is a Consortium, Applicants should note the requirements of Appendix 2 of the PQQ document. EACH MEMBER of a Consortium must complete the QUESTIONNAIRE.

Appendix 3: Where the Applicant is proposing a Sub-Contractor, Appendix 3 on Sub-Contractors must be complied with.

Appendix 4: Applicants must complete the Statement of Confirmation set out in Appendix 3 and submit this with their fully completed PQQ.

ENGLISH LANGUAGE: All parts of the PQQ in Appendix 1, Appendix 2, and Appendix 3 must be completed in the English language.

Please note that all financial information should be denominated in **euro (€)**, except where financial information is being provided in a certified supporting document such as a set of financial statements where it is sufficient for the information to remain in its original currency.

Where information is required by more than one Part in this QUESTIONNAIRE, it need only be provided once BUT its location within this QUESTIONNAIRE MUST be clearly referenced in all other Parts to which it relates. This does not apply to reliance on resources where the specific details of how the Applicant proposes to rely on the resources of others to meet any of the selection criteria (including the minimum qualification criteria, or further selection criteria relating to economic and financial capacity or technical or professional ability) set out in this PQQ.

If any of the Parts in this QUESTIONNAIRE are not relevant to an Applicant, please insert “N/A” or “Not Applicable”. Do not leave blanks.

Unless a specific date has been included in the PQQ or QUESTIONNAIRE in relation to the relevant question, where there is any reference in the PQQ or QUESTIONNAIRE to experience within a previous number of years, this means experience gained within the relevant multiple of consecutive twelve (12) month periods occurring prior to the date of issue of the contract notice for the Contract.

PART 1 APPLICANT DETAILS/INFORMATION (INCLUDING INFORMATION RE GROUPING/CONSORTIUM BIDS/PRIME CONTRACTOR/SUB-CONTRACTORS)

The answers to the questions in Part 1 must be provided by the Applicant. If the Applicant is a Consortium, refer to Appendix 2, each member of the Consortium must complete a Questionnaire (including any Sub-Contractor who is also a member of a Consortium). The Applicant, when submitting on behalf of a Consortium must be authorised to do so on behalf of all members of the Consortium. If the Applicant is a Prime Contractor and has a Sub-Contractor, but this is not being proposed as a Consortium, but only for sub-contracting purposes, the Sub-Contractor must supply a Declaration in the form set out in Part 9.

1.1 APPLICANT

1.1	Full Company Name (full legal name)		
1.2	Business Name/Trading as:		
1.3	Incorporated under the laws of [<i>identify country of incorporation</i>]		
1.4	Registered Address/Registered Office		
1.5	Company registration number:		
1.6	Contact details for queries in relation to this questionnaire	Name	
		Email	
		Telephone	
		Company Website	
1.7	VAT Number		
1.8	Directors names and titles		
1.9	Name of Company Secretary		
1.10	Parent Company (where relevant) Details of country of incorporation, shareholding in Applicant, registered office, registered number		
1.11	Names and addresses (full legal names, and registered offices, business addresses) of parties on whose resources the Applicant is relying (if applicable)		
1.12	If the Applicant is a Consortium, confirm if the Applicant is authorised to submit this application on behalf of the Consortium	Tick: Yes No (supply evidence of authorisation)	
1.13	If Applicant is a Consortium supply names of all members of the Consortium (including any Sub-Contractors who are members of the Consortium) and identify proposed lead members if any/roles of each member of the		

	Consortium and details as per 1.2 of this Part 1 Questionnaire	
1.14	If Applicant is a Prime Contractor with a Sub-Contractor but not a Consortium structure (i.e. sub-contracting purposes only for the Sub-Contractor), identify name of Sub-Contractor (s) and full details as per the details required for the Applicant in sections 1.1 – 1.12 inclusive of this Part 1	
1.15	Identify name, email and contact details (phone number), address, title of person who is authorised to be point of contact for IE as Contracting Authority for all Consortia/groups which are not consortia (Prime Contractor and Sub-Contractor)	

1.2 APPLICANT STRUCTURE

Is the Applicant a single entity or is it a Consortium (see definition in the PQQ at section 2.1) or Prime Contractor/Sub-Contractor:

Tick as appropriate

Single Entity ☐ Consortium ☐ Prime Contractor/Sub-Contractor ☐

[list names/roles/structure]

I/we confirm that the representative named Part 1.1 above is authorised to act as agent on behalf of the Applicant and the principal contact for the Applicant in dealings with IE.

(Please note: Power of Attorney not required at this stage)

Signed (to be completed by the Applicant and if the Applicant is a Consortium, SEPARATELY by EACH Member)

Signature and DATE	Name	Title	For and on behalf of (Member's name to be stated in full)
Signed:			
Name:			
Dated:			
Signed:			
Name:			
Dated:			
Signed:			
Name:			
Dated:			

1.3 DETAILS OF SUB-CONTRACTING ARRANGEMENTS

Details of all proposed sub-contracting arrangements, whether by a Consortium or an individual Applicant who is a Prime Contractor and proposes to use a Sub-Contractor for sub-contracting purposes only (but not as a Consortium), must be fully disclosed. (Note that all sub-contracting is subject to the prior written consent of IÉ under the terms of the Contract, in its absolute discretion and IÉ may withhold such consent in its absolute discretion and the details required by Appendix 3 submitted with this application.

Name of Proposed Sub-Contractor (full legal name, registered office, registered number, country of incorporation, trading or business name)	Role of Proposed Sub-Contractor

If the Sub-Contractors are members of a Consortium, they may be required to be jointly and severally liable to IÉ for the performance of the Contract, on any contract award.

Irrevocable letters of authority from each Sub-Contractor confirming their proposed role in the Applicant, whether as Sub-Contractor only, or also members of the consortium, (in which case the sub-contractor must also complete this Questionnaire), must also be enclosed.

Where reliance on resources of any Sub-Contractor is also sought by any Applicant to meet any of the Selection Criteria, a separate legally binding letter of authority must be included with the PQQ submission when returning in compliance with section 2.3 of the PQQ, identifying the specific nature of the reliance on resources in each case, to meet the specific qualitative selection criteria.

1.4 RELIANCE ON RESOURCES (to be completed separately by the Applicant or a Member)

Applicants refer to Section 2.3 of the PQQ in relation to the requirements on reliance on resources to meet any of the selection criteria.

Tick if appropriate written evidence of such support/reliance on resources of others for financial and economic capacity (whether in respect of the minimum qualification financial criteria on turnover or any other selection criteria relating to economic and financial capacity set out in the PQQ, in compliance with section 2.3 of the PQQ

☐

Reference to where enclosed:

Tick if appropriate written evidence of such support/reliance on resources of others to meet any other Selection Criteria relating to technical and/or professional ability is enclosed, in compliance with section 2.3 of PQQ

☐

Reference to where enclosed:

NOTE: It is essential that where reliance on resources is sought from any other person or entity in respect of any of the Selection Criteria, whether of economic and financial capacity or of technical and/or professional ability, or both, full supporting evidence and accompanying documentation is provided in each relevant section/criteria where reliance on resources is sought, and the requirements of that section on reliance on resources under each criteria, are met, as per section 2.3 of the PQQ and the requirements of the Utilities Directive and Utilities Regulations.

PART 2 (QUESTIONNAIRE) INFORMATION/DECLARATION RE MINIMUM QUALIFICATION CRITERIA

2.1 Minimum Qualification Criteria – Financial

In the case of reliance upon the financial resources of another entity (see Section 5.1), **Part 2 must ALSO be completed by that other entity as well as Declaration of Eligibility to be furnished by the third party being relied upon.**

Turnover

Applicants will be required to demonstrate a minimum turnover of €900,000 per annum for the last 3 audited financial year ends. Audited accounts must be provided, demonstrating financial stability.

Audited accounts		Current (y)	Y-1 year	Y-2 years	Y-3 years
A	Date of accounting year				
B	Annual turnover €				

In the case of a consortium, the turnover threshold must be met by the combined annual turnover of all members of the consortium in each of the last 3 audited financial years. Please provide a reconciliation of the turnover figure quoted below to the turnover figure as per the financial statements:

For each member of the consortium	y-1 year	y-2 year	y-3 year
Total			
Total per financial statements			

- ☐ Tick to confirm that this meets the minimum qualification criteria in respect of turnover as outlined in this Part 2
- ☐ Tick to confirm that supporting documentation (audited financial statements and / or certified statements of turnover from an independent accountant) has been provided with reference to this Part 2. Supporting documentation MUST be provided. If an Applicant is relying on resources of another entity/entities, the same information must be provided in relation to that entity/those entities.
- ☐ Tick to confirm if Applicant is relying on combined turnover of consortium members or those of other any other persons/entities, in order to meet the minimum financial qualification criteria relating to turnover. In the case of an Applicant that is a consortium or grouping, the turnover requirement set out above may be met by the combined annual turnover of all members of the consortium in each of the last three audited financial years. Please provide a reconciliation of the turnover figure quoted below to the turnover figure as per the financial statements.

DECLARATION SIGNED:

BY (FULL Name)

Duly Authorised for and on behalf of:

DATED: _____

PART 2 (QUESTIONNAIRE) Contd:
INFORMATION/DECLARATION RE MINIMUM QUALIFICATION CRITERIA FOR SAFETY
CRITICAL COMPONENTS

In order to be approved as a Supplier of Safety Critical Components to Iarnród Éireann (IE) for which this tender relates, you must be certified and approved under IE's Standard SMS 009. This approval is awarded to companies who meet the requirements under SMS 009, and it is broken into two stages.

Stage 1:

The first stage is for all participants to indicate their ability to submit the necessary information required as detailed below by marking the tick boxes and submitting the relevant documentation.

If any of the below is not applicable, please detail the reason in the comment boxes provided. This will then be reviewed at the first stage of the process. To note, all certifications and documentation must be in date.

Stage 2: Approval:

The second stage will be conducted by IE's Supplier Assurance Coordinator, whereby this documentation and possibly other must be provided to the Supplier Assurance Coordinator and will be reviewed in full, followed by a site visit including a full audit will be completed with the proposed winner of the tender.

Please note: Not all participants will receive a full audit, only the proposed winner.

By ticking yes to the below it is understood the supplier has all relevant documentation required to proceed in the process.

Stage 1: Certification Requirements:

1. Copies of the following certificates	Tick Box		Comment
1.1. ISO9001	Yes ☐	No ☐	
1.5. EN15085-2/ISO3834 (If Applicable)	Yes ☐	No ☐	
1.6. Any other relevant certificates (RISAS, IRIS etc.)	Yes ☐	No ☐	

2. Organisational Structure Chart	Tick Box		Comment
2.1 Detailed high level Organisational Structure chart	Yes ☐	No ☐	

3. Engineering Change Process	Tick Box		Comment
3.1. Documents that show how you validate changing a component or a process from a technical point of view. (Engineering Change)	Yes ☐	No ☐	
3.2. Documents showing how the control of externally provided processes, products and services are managed.	Yes ☐	No ☐	
3.3. Sample of evaluation of an external provider. Showing approval process.	Yes ☐	No ☐	

4. Quality Control	Tick Box		Comment
4.1 Documents that provide an explanation as to how the quality control of externally provided processes, products, materials and services are managed.	Yes ☐	No ☐	

All the above information to be supplied in “*standard 9 compliance documentation*” folder to be opened on selection of preferred bidder.

PART 3 (QUESTIONNAIRE) N/A

PART 4 (QUESTIONNAIRE)
EXPERIENCE**TECHNICAL/PROFESSIONAL QUALITATIVE CRITERIA: RELEVANT**

Please provide details in relation to **3 relevant contracts** completed by the Applicant or any of its Consortium members (including a Sub-Contractor) where a Consortium is the Applicant and identify if any resources of Consortium members are being relied upon (including any Sub-Contractor who is a Consortium member) to fulfil this Selection Criteria and provide full details and legally binding Letter of Support/Undertaking from the entity providing the resources and any supporting documentation required by IÉ. Relevant contracts are those most closely relating to the requirements for the Contract as detailed in the Contract Notice and in this PPQ document Please provide the following details:

Where the Applicant is a Prime Contractor with a Sub-Contractor but not a Consortium, and resources of a Sub-Contractor are being relied upon to fulfil this Selection Criteria, please provide evidence of the experience of the Sub-Contractor under at least 3 relevant contracts, and evidence of management of a supply chain by the Applicant as a Prime Contractor, and provide full details and legally binding Letter of Support/Undertaking from the entity providing the resources and any supporting documentation required by IÉ.

Applicants are required to provide evidence of their experience by way of 3 case studies in the overhaul of pantographs to the Rail Industry. Your examples must be relevant to IÉ's requirement in accordance with Section 1.1 of the PQQ and have occurred within the past 10 years.

Experience to be demonstrated by way of 3 detailed case studies to contain the following information at a minimum:

4.1 Reference 1:

Nature of Contract	
Name of Awarding Entity	
Description of Contract obligations (i.e. Supply Only, Supply and Install, Repair and Supply etc.) Detail any issues that arose, what happened, how they were dealt with and what mitigations were put in place to resolve any issues	
Details of contract performance and identify any elements that may have been sub-contracted. (i.e. Warranty, Delivery Schedule etc.) 	
Details of mobilization period, if any	
Contract Value to Applicant (€)	
Pantograph Type(s) Supplied and Respective Volume(s)	
Start Date	
End Date	
Contract Duration	
Quantity of Premature Failures (as a percent of total supplied products)	
Name of Client Contact	
Client Contact Details	Contact Name: Address: Telephone number:
Nature of Contract	
Name of Awarding Entity	
Description of Contract obligations	

4.2 Reference 2:

Nature of Contract	
Name of Awarding Entity	
Description of Contract obligations (i.e. Supply Only, Supply and Install, Repair and Supply etc.) Detail any issues that arose, what happened, how they were dealt with and what mitigations were put in place to resolve any issues and Supply etc.)	
Details of contract performance and identify any elements that may have been sub-contracted. (i.e. Warranty, Delivery Schedule etc.)	
Details of mobilization period, if any	
Contract Value to Applicant (€)	
Pantograph Type(s) Supplied and Respective Volume(s)	
Start Date	
End Date	
Contract Duration	
Quantity of Premature Failures (as a percent of total supplied products)	
Name of Client Contact	
Client Contact Details	Contact Name: Address: Telephone number:
Nature of Contract	
Name of Awarding Entity	
Description of Contract obligations	

4.3 Reference 3:

Nature of Contract	
Name of Awarding Entity	
Description of Contract obligations (i.e. Supply Only, Supply and Install, Repair and Supply etc.) Detail any issues that arose, what happened, how they were dealt with and what mitigations were put in place to resolve any issues	
Details of contract performance and identify any elements that may have been sub-contracted. (i.e. Warranty, Delivery Schedule etc.) 	
Details of mobilization period, if any	
Contract Value to Applicant (€)	
Pantograph Type(s) Supplied and Respective Volume(s)	
Start Date	
End Date	
Contract Duration	
Quantity of Premature Failures (as a percent of total supplied products)	
Name of Client Contact	
Client Contact Details	Contact Name: Address: Telephone number:
Nature of Contract	
Name of Awarding Entity	
Description of Contract obligations	

RELIANCE ON RESOURCES FOR Technical/Professional Ability – relevant experience: Please refer to note at the top of this Part 4

**PART 5 (QUESTIONNAIRE) TECHNICAL/PROFESSIONAL
RESOURCES/CAPACITY/SUPPLY CHAIN TRACEABILITY**
ABILITY: COMPANY

Part 5 should be completed by the Applicant and by each member of the Consortium in respect of itself. Identify if any resources of Consortium members (including any Sub-Contractor) are being relied upon (including any Sub-Contractor who is a Consortium member), by the Applicant in order to fulfil this Selection Criteria and provide full details and legally binding Letter of Support/Undertaking from the entity providing the resources and any supporting documentation required by IÉ. Where the Applicant is a Prime Contractor with a Sub-Contractor but not a Consortium, and resources of a Sub-Contractor are being relied upon to fulfil this Selection Criteria, please provide full details and legally binding Letter of Support/Undertaking from the entity providing the resources and any supporting documentation required by IÉ.

Please provide details of resources, relevant to the subject matter of this Contract for this competition, employed by your company.

Please complete the table as appropriate:

CATEGORY	TOTAL NUMBER EMPLOYED
Management	
Administration	
Technical	
Engineers	
Logistics	
Sales	
Apprentices	
Purchasing	

Do you use Subcontractors for the type of work for which you are applying? Yes ☐ No ☐

See Part 1 Questionnaire and Appendix 3 Questionnaire

If yes please provide details of any subsidiary or associated companies or subcontractors that you would normally propose to subcontract more than 10% of the work to and the type of work they do for you.

RELIANCE ON RESOURCES for Technical /Professional Ability – company resources : see note at top of this Part 5.

Capacity

In units, what is your annual capacity to repair and supply Pantographs?	
As a percentage of overall capacity, how much free capacity do you currently have?	
Based on an estimated requirements how much of your current free capacity will be filled by IÉ's requirements?	

Contractual Commitments

In descending order, please list your current 4 largest contractual commitments;

	Customer Name	Pantograph Type(s)	Units (Per Annum)	Percentage of Total Capacity
1st				
2nd				
3rd				
4th				

PART 6: (QUESTIONNAIRE) QUALITY MANAGEMENT SYSTEMS

Part 6 should be completed by the Applicant and by EACH Member of the Consortium. Identify if any resources of Consortium members (including any Sub-Contractor) are being relied upon (including any Sub-Contractor who is a Consortium member) by the Applicant in order to fulfil this Selection Criteria and provide full details and legally binding Letter of Support/Undertaking from the entity providing the resources and any supporting documentation required by IE. Where the Applicant is a Prime Contractor with a Sub-Contractor but not a Consortium, and resources of a Sub-Contractor are being relied upon to fulfil this Selection Criteria, please provide full details and legally binding Letter of Support/Undertaking from the entity providing the resources and any supporting documentation required by IE.

6.1 Quality Management Systems

- Operation of a Quality Management System

(a) Does the Applicant have a documented Quality Management System in place?

Tick as appropriate	YES	☐
	NO	☐

If YES then please provide an executive summary of how it operates.

- Operation of a Production Management System

(b) Does the Applicant have a documented Production Management System in place?

Tick as appropriate	YES	☐
	NO	☐

If YES then please provide an executive summary of how it operates.

- Operation of a Technical Control System

(c) Does the Applicant have a documented Technical Control System in place?

Tick as appropriate	YES	☐
	NO	☐

If YES then please provide an executive summary of how it operates.

6.2 Quality Management Systems - Certification of a Quality Management System to a Recognised Standard

Is the Applicant's Quality Management System currently certified as compliant with EN ISO 9001:2000 or an equivalent internationally recognised standard?

Tick as appropriate

YES

☐

NO

☐

If YES, please state which standard it is certified to and provide a current certificate:

--

6.3 Quality Management Systems - Audit Arrangements

Is the Applicant's Quality Management System subject to internal or external audit?

Tick as appropriate

YES

☐

NO

☐

If YES, please provide brief details of the internal or external auditing arrangements including the date of the last audit and, where applicable, the name of the external auditing body:

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RELIANCE ON RESOURCES: Quality Management Systems: see note at top of Part 6

PART 7: (QUESTIONNAIRE) Not Applicable

PART 8: (QUESTIONNAIRE) ORGANISATIONAL SUSTAINABILITY

Part 8 should be completed by the Applicant and by EACH Member of the Consortium. Identify if any resources of Consortium members (including any Sub-Contractor) are being relied upon (including any Sub-Contractor who is a Consortium member) by the Applicant in order to fulfil this Selection Criteria and provide full details and legally binding Letter of Support/Undertaking from the entity providing the resources and any supporting documentation required by IE. Where the Applicant is a Prime Contractor with a Sub-Contractor but not a Consortium, and resources of a Sub-Contractor are being relied upon to fulfil this Selection Criteria, please provide full details and legally binding Letter of Support/Undertaking from the entity providing the resources and any supporting documentation required by IE.

Iarnród Éireann are committed to embedding sustainability into its everyday operations.

In its Sustainability Strategy 2021-2030, Iarnród Éireann has set out its environmental, social and economic sustainability objectives. The Strategy identifies key goals and commitments to be delivered by 2030.

It is recognised that a key driver in ensuring that, as an organisation, Iarnród Éireann can deliver on these goals and commitments will be the implementation of procurement processes, which focus on the sustainability of the goods and services that we purchase, and which ensure that we continuously seek to engage with suppliers whose values are aligned with our objectives.

Applicants are required to complete Part 8, as a true reflection of their organisations approach to managing sustainability.

8.1 Environmental Sustainability

- Provide details on internationally recognised and externally audited environmental management systems, where applicable, should be provided relevant to the specific requirements of the contract, i.e. ISO 14001 or equivalent.
- Provide brief details of the internal or external auditing arrangements including the date of the last audit and, where applicable, the name of the external auditing body.
- If no certified system in place, please provide details on internal documented environmental control practices, including but not limited to environmental policy, operating procedures, pollution prevention measures/environmental management plans, and environmental auditing.
- Demonstrate how the applicants are managing / reducing their companies carbon emissions in relation to this contract

Response

8.2 Social and Economic Sustainability:

Applicants are required to provide an executive summary of their approach to monitoring, measurement and reporting on sustainability initiatives and obligations within the organization.

Applicants must provide details of their organisation's sustainability strategy, codes of practices and the steps taken to embed this in everyday delivery of service taking into account areas such as ethical sourcing, human rights and fair operating and labour practices.

Applicants should provide details on any social inclusion and/or community involvement initiatives undertaken by the supplier.

Response

PART 9: (QUESTIONNAIRE) DECLARATION OF ELIGIBILITY

DECLARATION (AS PER REGULATION 89(1) OF THE EUROPEAN UNION (AWARD OF CONTRACTS BY UTILITY UNDERTAKINGS) REGULATIONS 2016

APPLICANTS PLEASE NOTE No 1: Where the Applicant is a Consortium or Grouping, **EACH** Member of the Consortium/Grouping (as identified at Part 1.2) **MUST COMPLETE A DECLARATION OF ELIGIBILITY SEPARATELY**. Where the Applicant proposes to use a Sub-Contractor, whether they are part of a Consortium or whether they are not, and acting in the latter case for sub-contracting purposes only, each **SUB-CONTRACTOR MUST COMPLETE A DECLARATION OF ELIGIBILITY**.

APPLICANTS PLEASE ALSO TAKE ACCOUNT OF NOTE No 2 set out at the end of this Declaration, when completing this Declaration.

APPLICANTS PLEASE REFER TO NOTE No 3 set out at the end of the Declaration, before executing this Declaration.

RE: CONTRACT NOTICE FOR THE SUPPLY OF [] GOODS/SERVICES required by IARNRÓD ÉIREANN-IRISH RAIL

NAME: (of Applicant) (each Consortium Member of any entity on whom the Applicant relies, must complete a separate Declaration in this form):

ADDRESS:

COUNTRY:

On behalf of the Applicant, and having been duly authorised by the Applicant, I sincerely declare that:

1. the Applicant itself or any person who is a member of the administrative, management or supervisory body of the Applicant or has powers of representation, decision or control in the Applicant has not been the subject of a conviction for one or more of the following reasons:
 - (a) participation in a criminal organisation, as defined in Article 2 of Council Framework Decision 2008/841/JHA;
 - (b) corruption, as defined in Article 3 of the Convention on the fight against corruption involving officials of the European Communities or officials of Member States of the European Union and Article 2(1) of Council Framework Decision 2003/568/JHA as well as corruption as defined in the law of Ireland or the law of the Member State of the European Union, other than Ireland, in which the Tenderer is established;
 - (c) fraud within the meaning of Article 1 of the Convention on the protection of the European Communities' financial interests;
 - (d) terrorist offences or offences linked to terrorist activities, as defined in Articles 1 and 3 of Council Framework Decision 2002/475/JHA respectively, or for inciting or aiding or abetting or attempting to commit an offence, as referred to in Article 4 of that Framework Decision;
 - (e) money laundering or terrorist financing, as defined in Article 1 of Directive 2005/60/EC of the European Parliament and of the Council; or

- (f) child labour and other forms of trafficking in human beings as defined in Article 2 of Directive 2011/36/EU of the European Parliament and of the Council.

2. The Applicant:

- (a) is not in breach of its obligations relating to the payment of taxes or social security contributions;
- (b) in the performance of a public contract, has not failed to comply with applicable obligations in the fields of environmental, social and labour law that apply at the place where the works are carried out or the services provided, that have been established by EU law, national law, collective agreements or by international, environmental, social and labour law listed in Annex X of Directive 2014/24/EU;
- (c) is not bankrupt or the subject of insolvency or winding-up proceedings, its assets are not being administered by a liquidator or by the court, it is not in an arrangement with creditors, its business activities are not suspended nor is it in any analogous situation arising from a similar procedure under the law of Ireland;
- (d) is not guilty of grave professional misconduct;
- (e) has not entered into agreements with other economic operators aimed at distorting competition;
- (f) is not aware of any conflict of interest within the meaning of Article 24 of Directive 2014/24/EU;
- (g) has not had any prior involvement in the preparation of the procurement process;
- (h) has not shown significant or persistent deficiencies in the performance of a substantive requirement under a prior public contract, a prior contract with a contracting entity, or a prior concession contract, which led to early termination of that prior contract, damages or other comparable sanctions;
- (i) has not been guilty of serious misrepresentation in supplying the information required for the verification of the absence of grounds for exclusion or the fulfilment of the selection criteria, has not withheld such information and is able to submit the supporting documents required pursuant to Article 59 of Directive 2014/24/EU;
- (j) has not undertaken to unduly influence the decision-making process of Iarnród Éireann-Irish Rail, to obtain confidential information that may confer upon it undue advantages in the procurement process or to negligently provide misleading information that may have a material influence on decisions concerning exclusion, selection or award.

I understand and acknowledge that the provision of inaccurate or misleading information in this Declaration may lead to the Applicant being excluded from participation in this procurement process and/or in future procurement processes.

SIGNATURE

DATE:

NAME:

TEL:

POSITION:

NOTE NO 2: MEASURES TAKEN BY APPLICANT: Where any one of more of the Exclusion Grounds set out above in the Declaration applies, the Applicant **must** include details of such Exclusion Ground with its PQQ submission and, where the Applicant is not precluded from doing so under Article 57(6) of Directive 2014/24/EU, the Applicant may provide evidence to the effect that measures taken by the Applicant are sufficient to demonstrate the Applicant's reliability despite the existence of a relevant Exclusion Ground as is referred to in Article 57(12) of Directive 2014/24/EU. The evidence provided by the Applicant will be taken into account by Iarnród Éireann-Irish Rail in considering whether or not to exclude the Applicant from further participation in the procurement process. Nothing in this Schedule or in this PQQ document shall preclude the submission by the Tenderer of a European Single Procurement Document (ESPD) referred to in Article 59(1) of Directive 2014/24/EU.

NOTE NO 3: EXECUTION: This Declaration must be signed by a duly authorised person in the Applicant's organisation. In the case of a company, a Director of the company or the Company Secretary must sign this declaration.

EVIDENCE FOR SELECTION

The signatory to this Declaration declares that the economic operator is able to provide the necessary supporting documents required which are not available electronically upon request and without delay.

I understand and acknowledge that the provision of inaccurate, or misleading, or false information in this Declaration may lead to the economic operator/my firm/company/business/partnership being rejected and excluded from participation in this procedure.

THIS DECLARATION has been made to the best of my knowledge and belief for and on behalf of [*Name of economic operator/entity*]:

SIGNATURE:

DATE:

FULL NAME (in block capitals):

(Signature must be that of a Director/Principal)

TITLE:

Witness:

Name: *(Block Capitals)*:

Occupation:

Please note, confirmation/re-execution/notarisation of the Declaration may sought prior to any contract being awarded.

APPENDIX 2 – CONSORTIUM SUBMISSION REQUIREMENTS

CONSORTIUM ARRANGEMENTS

- (a) Consortium: each member of the Consortium must complete a Questionnaire setting out its full details, legal structure, role in the Consortium for this Contract, and complete the details required by Part 1 Questionnaire.
- (b) Sub-Contractors: Where a Sub-Contractor is also a member of a Consortium, it must complete the Questionnaire. Where a Sub-Contractor is acting in the role of sub-contracting only, and is not part of a Consortium, it need not complete the Questionnaire but full details must be provided by the Applicant of the Sub-Contracting and proposed sub-contracting.
- (c) Authorised designated person: the Applicant must supply full details of the person/entity designed to act as the nominated person/entity in respect of all members of the Consortium for contact from IÉ in relation to this competition, and of the nominated person authorised to act for a Prime Contractor and Sub-Contractor in respect of all contact from IÉ in relation to this competition.
- (d) Declarations: All members of a Consortium must complete a Declaration of Eligibility in the form set out in Part 9 Questionnaire
- (e) Choice of legal structure: An Applicant's choice of legal structure (e.g. joint venture, partnership, incorporated/unincorporated) will not be relevant in assessing eligibility at this time.
- (f) Each member's role must be stated: Parts 1.2 – 1.4 of the Questionnaire must be completed in full and each member's role in the consortium and/or any sub-contracting arrangements identified. In addition, the details requested in the forms contained at the end of this appendix must also be supplied. Details of the actual or proposed percentage shareholding of the constituent members of the consortium must be disclosed.
- (g) Legally Binding Letter of authorisation and undertaking: An Applicant consisting of a consortium must include in its PQQ submissions, with the duly completed Questionnaire, an irrevocable and unconditional letter of authorisation and undertaking from each member of the consortium authorising the Applicant to make the application and to confirm that they are aware of their requirements and undertake to make available all necessary resources where required to fulfil their role in the proposed consortium.
- (h) Specific Legal Form not required for a consortium: A consortium howsoever constituted, will not be required to convert into a specific legal form in order to submit a tender. If the consortium is not proposing to form a single legal entity, full details of alternative arrangements must be provided.
- (i) If a legal form is proposed by Applicant: If a consortium intends to convert into a special legal form for the purpose of providing the required good/services, full details of the proposed legal structure and/or principal contractual relationships must be outlined in response to this PQQ. Where a grouping/consortium proposes to contract via a single legal entity whether through the incorporation of an SPV or otherwise, Applicants should note that details of the actual or proposed percentage shareholding of the consortium members in the SPV must be disclosed. Applicants should also note that an SPV's obligations may be required to be guaranteed by each group member/member of the grouping/consortium in the event of that Applicant being invited to tender and that tender being accepted.
- (j) Prime Contractors and Sub-Contractors: If the Applicant consists of a prime contractor and a sub-contractor or group of sub-contractors, this PQQ and the submissions required in the Appendices to this PQQ must be completed by the Applicant as "Prime Contractor" with full details of the proposed sub-contractor(s) and the prime contractor must identify the sub-contractor(s) and their proposed role in the process. If a consortium proposes to act as a prime contractor, this must also be disclosed. An irrevocable letter of undertaking from any proposed sub-contractor(s) authorising the prime contractor to make this application to this PQQ on its

behalf, executed under seal or as a deed, as an irrevocable and unconditional letter of authority from a sub-contractor authorising the prime contractor to disclose details of the proposed sub-contracting arrangements, must be submitted by the prime contractor with its submissions.

- (k) Lead Consortium member: A consortium must identify a lead consortium member who is authorised by all the other members of the consortium as the lead consortium member authorised to deal with this application, and the role of all other consortium members must be identified. IÉ shall be entitled to assume that the lead consortium member has the authority to bind all other members of the consortium and IÉ reserves the right to seek satisfactory evidence of the authority of the lead consortium member to bind all other members of the consortium. All contact with the consortium will be conducted by IÉ with the lead consortium member who will act as the contact person for the consortium for this competition (the "Contact Person") and IÉ reserves the right to disregard contact with any other member of the consortium and/or to report such contact to the lead consortium member.

JOINT AND SEVERAL LIABILITY

If, on any contract award, the contract is awarded to a consortium or grouping, then, other than in respect of a prime contractor / sub-contractor relationship, each member of the consortium will be required to be jointly and severally liable to IÉ for the performance of the Contract and delivery of the required goods/services.

If the grouping consists of a prime contractor and a sub-contractor(s), and the sub-contractor(s) are not members of a consortium and the application is being made by the prime contractor, the prime contractor will be fully liable to IÉ for all the acts and omissions of its sub-contractors under the terms and conditions of the Contract.

CHANGES TO CONSORTIUM ARRANGEMENTS

Any change in the ownership, structure or control of the Applicant or where the Applicant is a consortium, in the ownership, structure or control of any members of the consortium, or in the roles of the members of a consortium after the Applicant has submitted its PQQ submissions and Questionnaire, must be notified in writing by the Applicant to IÉ as soon as the Applicant is aware of same or of such proposals, failure to do so may lead to disqualification of the Applicant. Any such changes must be approved by IÉ in its absolute discretion and IÉ may withhold approval for any such changes and may exclude the Applicant from any further participation in this competition.

Information Required on Legal Form of Consortium/Grouping

Is the Consortium? *Tick as appropriate*

Limited Company/incorporated entity

☐

Yet to be incorporated

☐

Not to be incorporated

☐

SPV / Legal Entity formed by Consortium:

Group of Members (Not an SVP)

☐

Joint Venture

☐

Partnership

☐

Other

☐

If the company is incorporated, please provide the following:

Country of registration: _____

Year of Incorporation: _____

Company Registration Number: _____

Amount of Issued Share Capital: _____

Consortium Activities

Is it proposed that the Consortium will be a single purpose entity formed solely to undertake the Contract?

Tick as appropriate

Yes

☐

No

☐

If no, in what other undertakings will the Consortium be involved?

Members of Consortium

In the case of an Applicant which is a Consortium, please detail the name of each Member (as previously detailed in Part 1.2) and provide a description of the relationship between Members of the Consortium ([Original legally binding and irrevocable and unconditional letters of authority from each of the other consortium members must be enclosed in relation to the person/entity that is acting as the lead consortium member])

Full Name and Address of Member (and details of entity on whose resources the Member is relying if applicable)	Role within Consortium and Contract (lead consortium member must be identified, role of other consortium members, Sub-contractors must be identified...

Signed:

Name (BLOCK CAPITALS):

Title:

Duly Authorised For and on behalf of:

Dated:

APPENDIX 3 – REQUIREMENTS CONCERNING SUB-CONTRACTORS

- 1 All Sub-Contractors proposed must be identified by the Applicant and full details provided in the Questionnaire.
- 2 All Sub-Contractors must execute a Declaration of Eligibility.
- 3 If a Sub-Contractor is also a member of a Consortium, it must also complete the Questionnaire
- 4 Nature of trading of Sub-Contractor, legal status, type of goods/services produced/ type of organisation and legal structure, ownership of Sub-Contractor, country of incorporation, registered address, business address, website, registration number, details of any patents/trademarks/domain names.
- 5 Details of the role proposed for this Sub-Contractor for this Contract in terms of works/goods/services/resources to be supplied and proposed percentage of sub-contracting proposed by the Prime Contractor (it is not possible to sub-contract 100% of the Contract) and percentage of obligations proposed to be assigned to a Sub-Contractor
- 6 Details of relevant resources/experience of Sub-Contractor, in context of Contract, where required

APPENDIX 4 - APPLICANTS STATEMENT OF CONFIRMATION ENCLOSING COMPLETED PQQ

STATEMENT OF CONFIRMATION

TO: IARNRÓD ÉIREANN-IRISH RAIL ("IE")

RE: CONTRACT NOTICE FOR THE SUPPLY OF [] GOODS/SERVICES TO IE

On behalf of [] *(insert full legal name of Applicant) [name of entity on whom the Applicant relies to satisfy any of the selection criteria]*, I hereby agree and declare the following:

- 1 I declare that to the best of my knowledge the answers submitted to questions and statements contained in any Declarations duly completed and referred to in the Pre-Qualification Questionnaire are correct.
- 2 I understand that the information provided will be used in the selection process to assess my organisation's suitability to be invited to participate further in this procurement, and I am signing on behalf of [] *(insert name of Applicant/ supporting entity)*.
- 3 I accept all the terms and conditions of this Pre-Qualification Questionnaire.
- 4 I agree to supply IE with such supporting information, verification, documentation and references to support this application, at any time during the procurement process in respect of this competition, not limited to the pre-qualification stage, and to confirm the status of all such information and documentation, including the execution by way of notarisation of Declarations, if required, at tender/contract award stage.
- 5 I undertake to inform IE promptly of any matter which would or could alter any of the information given in response to this PQQ.
- 6 I agree that, if awarded the contract, [] *(insert name of Applicant/ supporting entity)* shall comply with all applicable obligations in the field of environmental, social and labour law.
- 7 I confirm all sections and Parts of the PQQ have been completed by us and all relevant information and documentation is attached to our completed PQQ which is being submitted by us to IE.
- 8 I confirm that we are aware of IE's requirements in respect of conflicts of interest, and of the provisions of Article 42 of Directive 2014/25/EU (Utilities Directive) on conflicts of interest, and I declare that (unless otherwise set out in the Declaration completed by us and referred to in Part 9 of this PQQ), we are not aware of any conflict of interest of the [] *(insert name of Applicant/supporting entity)* in relation to these requirements.
- 9 I confirm that all "Data Subjects" whose "Personal Data" is provided in this Application and PQQ Questionnaire and supporting documentation, have consented to the processing of such Personal Data by the Applicant, all members of the Consortium where the Applicant is a Consortium, by a Sub-Contractor where the Applicant is a Prime Contractor with a Sub-Contractor, by IE as Contracting Authority, and by IE's Evaluation Team, for the purposes of participating in this competition, or that we otherwise have a legal basis for providing such Personal Data to IE as the Contracting Authority for the purposes of participating in this competition and that we will provide evidence of such consents and/or legal basis to IE as the Contracting Authority upon request.

- 10 I confirm that the Declarations referred to at Parts 2 and 8 of this PQQ have been duly completed and executed and are part the PQQ duly completed by us.

SIGNED FOR THE APPLICANT:

SIGNATURE:

FULL NAME (BLOCK CAPITALS):

TITLE:

DATE:

Duly Authorised for and on behalf of:

Witness:

Name (BLOCK CAPITALS):
